

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shawn D. Abbott et al. Examiner: Gail O. Hayes  
Serial No.: 09/449,159 Group Art Unit: 2131  
Filed: November 24, 1999 Docket: G&C 30074.26-US-I1  
Title: USB-COMPLIANT PERSONAL KEY WITH INTEGRAL INPUT AND  
OUTPUT DEVICES

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 11, 2003.

By: Gail O. Hayes  
Name: Victor G. Cooper

SUPPLEMENTAL INFORMATION DISCLOSURE

STATEMENT(37 C.F.R. §1.97(b)/(c))

RECEIVED

AUG 18 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 2213-1450

Technology Center 2100

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Included are references that were recently cited in a Partial International Search Report.

This statement should be considered because it is submitted prior to a first Office Action on the merits, and also less than three months after the mailing date of a Communication from a foreign patent office in which the aforementioned items of information were cited, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a Notice of Allowance under 37 C.F.R. § 1.311. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

**Certification Under 37 C.F.R. §1.97(e)**

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that this statement is submitted wherein each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement (certification under 37 C.F.R. §1.97(e)(1)).

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP  
Attorneys for Applicant(s)

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

Date: August 11, 2003

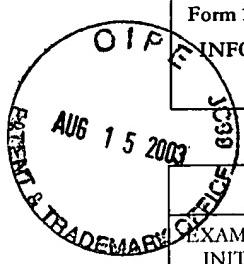
By: *Victor G. Cooper*  
Victor G. Cooper  
Reg. No.: 39,641

VGC/dr

Date Mailed: August 11, 2003

Sheet 1 of 1

Form 1449*	Docket Number: G&C 30074.26-US-I1	Application Number: 09/449,159
INFORMATION DISCLOSURE STATEMENT		
IN AN APPLICATION		
	Applicant: Shawn D. Abbott et al.	
	Filing Date: November 24, 1999	Group Art Unit: 2131



U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,092,202	07/18/00	Veil et al			
FOREIGN PATENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
	0 587 375	03/16/94	Europe			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
						AUG 18 2003
						Technology Center 2100

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

\*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

G&C 30074.26-US-I1



Confirmation No.: 5935  
Due Date: August 15, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shawn D. Abbott et al. Examiner: Gail O. Hayes  
Serial No.: 09/449,159 Group Art Unit: 2131  
Filed: November 24, 1999 Docket: G&C 30074.26-US-II  
Title: USB-COMPLIANT PERSONAL KEY WITH INTEGRAL INPUT AND OUTPUT DEVICES

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

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By: *Victor G. Cooper*  
Name: Victor G. Cooper

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AUG 18 2003

Dear Sir:

Technology Center 2100

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- Supplemental Information Disclosure Statement and Form PTO-1449.
- Cited Reference(s).
- Other Enclosures: Copy of Partial International Search Report for International Application No. PCT/EP02/00201.
- Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

**GATES & COOPER LLP**  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: *Victor G. Cooper*  
Name: Victor G. Cooper  
Reg. No.: 39,641  
VGC/dr

(PTO TRANSMITTAL - GENERAL)